

Docket No.: 12810-00109-US  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:

Wolfgang Gaschler et al.

Confirmation No.: N/A

Application No.: Not Yet Assigned

Filed: Concurrently Herewith

Art Unit: N/A

For: AQUEOUS DISPERSIONS COMPRISING  
ETHYLENE COPOLYMER WAXES

Examiner: Not Yet Assigned

**INFORMATION DISCLOSURE STATEMENT (IDS)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement accompanies the new patent application submitted herewith.

Except for U.S. patents and U.S. patent applications, a copy of each of the references cited on the PTO/SB/08 is attached.

The following non-English language items contained on form PTO/SB/08 are listed below and explained in accordance with 37 C.F.R. § 1.98(a)(3)(i):

DE 198 05 121 A1: A concise statement of the relevance is provided in the specification. U.S. Patent 6,727,318 is an English-language counterpart of this application.

DE 199 37 261 A1: The attached English-language translation of the abstract represents a concise explanation of the relevance.

EP 0 691 384 A1: A concise statement of the relevance is provided in the specification. U.S. Patent 5,616,644 is an English-language counterpart of this application.

EP 0 691 390 A2: A concise statement of the relevance is provided in the specification.

EP 0 816 402 A1: The attached English-language translation of the abstract represents a concise explanation of the relevance.

With respect to Buback et al., *Chem. Ing. Tech.*, 1994, 66:510, a concise statement of the relevance is provided in the specification.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

Application No.: Not Yet Assigned

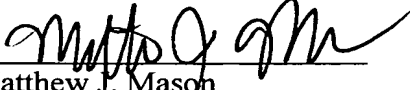
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JC12 Rec'd ST/PTC 20 JUL 2005  
Docket No.: 12810-00109-US

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 03-2775, under Order No. 12810-00109-US. A duplicate copy of this paper is enclosed.

Dated: 7/20/05

Respectfully submitted,

By:

  
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Substitute for form 1449A/B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	Not Yet Assigned
				Filing Date	Concurrently Herewith
				First Named Inventor	Wolfgang Gaschler
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	12810-00109-US
Sheet	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AE	US-3,990,080	11-02-1976	LUNDGREN	
	AD	US-3,404,116	10-01-1968	PUESCHNER	
	AC	US-5,880,233	03-09-1999	DECKERS et al.	
	AB	US-5,616,644	04-01-1997	SCHLARB et al.	
	AA	US-6,727,318	04-27-2004	MATHAUER et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	BA	DE-199 37 261-A1	02-15-2001	BASF AG		
	BB	DE-198 05 121-A1	08-12-1999	BASF AG		
	BC	EP-0 816 402-A1	01-07-1998	VIANOVA RESINS GmbH		
	BD	EP-0 691 390-A2	01-10-1996	BASF AG		
	BE	WO-96/27620	09-12-1996	AKZO NOBEL N.V.		
	BF	EP-0 691 384-A1	01-10-1996	BASF AG		
	BG	EP-0 813 550-B1	12-29-1997	AKZO NOVEL N.V.		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author ( in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	Ullmann's Encyclopedia of Industrial Chemistry: Waxes, 1996, pp. 146-163, Vol. A28, Verlag Chemie Weinheim.	
	CB	M. Buback et al., Chem.-Ing. Tech., 1994, pp. 510-13, Vol. 66, No. 4, VCH Verlagsgesellschaft mbH, D-69469 Weinheim.	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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Examiner Signature	Date Considered	
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